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Maxim A. Ivanov

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EXAMINER

KIM, PAUL

ART UNIT

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/820,897	<b>Applicant(s)</b> IVANOV, MAXIM A.	
	<b>Examiner</b> PAUL KIM	<b>Art Unit</b> 2169	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 31 October 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3-10 and 12-16 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-10 and 12-16 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

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### **DETAILED ACTION**

1. This Office action is responsive to the following communication: Amendment filed on 31 October 2008.
2. Claims 1, 3-10, and 12-16 are pending and present for examination. Claims 1 and 9 are in independent form.

### ***Response to Amendment***

3. No claims have been amended.
4. No claims have been cancelled.
5. No claims have been added.

### ***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. **Claims 1, 3-10, and 12-16** are rejected under 35 U.S.C. 103(a) as being unpatentable over Golden (U.S. Patent No. 6,925,631), filed on 8 December 2000, in view of Vosburgh (U.S. Patent No. 7,089,533), filed on 1 August 2001, published on 14 October 2004, and issued on 8 August 2006, in further view of Murthy et al (U.S. Patent No. 7,096,224, hereinafter referred to as MURTHY), filed on 27 September 2002, and issued on 22 August 2006, and in further view of Girardot et al (U.S. Patent No. 7,089,567, hereinafter referred to as GIRARDOT), filed on 9 April 2001, and issued on 8 August 2006.
8. **As per independent claim 1**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

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A method for facilitating parsing XML data, the method comprising:

creating a user-defined parsing function {See Golden, C4:L6-17, wherein this reads over "the invocation of software components mapped to the tags is performed during the parsing process"}, the parsing function being a member of a user class library, the parsing function containing a custom parsing code written by a user to customize the parsing of the content of XML elements {See Golden, C4:L39-43, wherein this reads over "the discrete software components are classes of an object-oriented programming language, like Java" and "[t]hey can likewise be procedures or functions of a procedural programming language"};

mapping an XML element to the user-defined parsing function {See Golden, C4:L26-38, wherein this reads over "[f]or tags which are mapped, in the disclosed embodiments, the mapping is a single-valued function"}, the mapping the XML element to the parsing function including creating a parsing map describing the XML element by XML element name and identifying the class member associated with the XML element {See Vosburgh, C4:L44-60, wherein this reads over "[t]he XML session manager 102 maps XML files to an object model 110 of the application 111 and vice versa using mapping meta-data that defines how XML files map to the object model"; and C5:L11-23, wherein this reads over "the mapping meta-data may defined the referenced class and the XML elements used to define the relationship"};

creating a parser to pre-parse XML source data {See MURTHY, C25:L55-C26:L20, wherein this reads over "[i]f the document is XML, a pre-parse step is performed, where enough of the resource is read to determine the XML schemaLocation and namespace of the root element in the document"}, the parser including a parsing agent, the parsing agent automatically generating a parsing state machine in accordance with the XML element names defined in the parsing map {See GIRARDOT, Figure 1a; and C7:L37-C8:L8, wherein this reads over "the parser state machine of the WBXML encoding method"};

exposing the mapping to the parser via a communication channel {See Golden, C5:L2-10, wherein this reads over "the discrete software components comprises a method, which is invoked as the start-tag of a tag, is parsed (called 'init method') and a further method, which is invoked as the end-tag of a tag, is parsed"};

receiving an event for the XML element from an event-based reader of XML data containing the element {See Golden, C4:L10-16, wherein this reads over "[t]he preferred parser (an event-driven Application Programming Interface (API) is the 'simple API for XML' (SAX) parser"; and C5:L11-22, wherein this reads over "the 'behavior' that is induced by a certain tag is not fixed, but can be changed depending on the context in which the input stream is parsed, or any other internal or external conditions"};

pre-parsing the content of the XML element from the XML source data using the parsing state machine {See Golden, C4:L35-38, wherein this reads over "there is a one-to-one mapping between all mapped tags and software components. In other words, to each mapped tag corresponds exactly one software component"}; and

sending the pre-parsed content of the XML element via the communication channel to the user-defined parsing function {See Golden, C5:L44-62, wherein this reads over "[a]s a tag of the input stream is parsed, the software component mapped to it is invoked" and "[a]nother possible source for XML input is a database, since data can advantageously be stored in databases in the form of XML documents or document fragments. Such an XML database output forms an input for the disclosed embodiments, and as a tag is parse, a software components mapped to it is invoked"}.

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While Golden may fail to expressly disclose the identification of a class member associated with the XML element, Vosburgh discloses an XML session manager that maps XML elements to a referenced class. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the above invention suggested by Golden with the invention as disclosed by Vosburgh.

One of ordinary skill in the art would have been motivated to do this modification so that the class member may be used in executing the parser functions which parse the content of the XML element.

Additionally, while Golden may fail to expressly disclose the method step of creating a parse to pre-parse XML source data, Murthy discloses a pre-parse step that is performed on an XML document. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the above invention suggested by Golden with the invention as disclosed by Murthy.

Additionally, Murthy may fail to disclose that said method step include the generation of a parsing state machine, Girardot discloses a method wherein a parsing state machine of the WBXML encoding method is applied to XML documents. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the above invention suggested by Golden with the invention as disclosed by Girardot.

One of ordinary skill in the art would have been motivated to do this modification so that a pre-parser may be utilized in the parsing of an XML source data and said parse data mapped accordingly to a received event.

9. **As per dependent claim 3**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The method of claim 2, wherein sending the pre-parsed content of the mapped XML element via the communication channel to the parsing function includes looking up the class member identified as being associated with the XML element {See Vosburgh, C5:L11-23, wherein this reads over "the mapping meta-data may defined the referenced class and the XML elements used to define the relationship"}, and sending the pre-parsed content of the XML element to the associated class member {See Vosburgh, C7:L18-36}.

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While Golden may fail to expressly disclose the identification of a class member associated with the XML element, Vosburgh discloses an XML session manager that maps XML elements to a referenced class. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the above invention suggested by Golden with the invention as disclosed by Vosburgh.

One of ordinary skill in the art would have been motivated to do this modification so that the class member may be used in executing the parser functions which parse the content of the XML element.

10. **As per dependent claim 4**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The method of claim 2, wherein the parsing function is a reusable object to which the XML element has been previously mapped, and mapping the XML element to the parsing function includes:

creating the parsing map describing the XML element and identifying the reusable object associated with the XML element {See Vosburgh, C5:L11-23, wherein this reads over "the mapping meta-data may define the referenced class and the XML elements used to define the relationship"}; and

joining the reusable object to the other parsing functions described in the parsing map {See Vosburgh, C5:L11-23, wherein this reads over "[f]or one-to-one and one-to-many relationships, meta-data indicates which elements in this (source) class's XML document are used to construct a 'key' to the other (target) class's XML document"}.

11. **As per dependent claims 5 and 13**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The method of claim 1, wherein the agent is an implementation class member and the communication channel is an interface to the implementation class member that enables the mapping to be exposed to the agent automatically {See Vosburgh, C6:L13-15, wherein this reads over "[t]he runtime API 103 is an interface to link the client application 111 and the XML session manager 102. The runtime API 103 defines the XML session object"}.

While Greenfield may fail to expressly disclose the identification of a class member associated with the XML element, Vosburgh discloses an XML session manager (i.e. an interface to the implementation class member) that maps XML elements to a referenced class. Therefore, it would have

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been obvious to one of ordinary skill in the art at the time the invention was made to modify the above invention suggested by Golden with the invention as disclosed by Vosburgh. Additionally, it is noted that the present claim recites the intended use of "enabl[ing] the mapping to be exposed to the agent automatically" such that the aforementioned limitation is not afforded patentable weight.

One of ordinary skill in the art would have been motivated to do this modification so that the class member may be used in executing the parser functions which parse the content of the XML element.

12. **As per dependent claim 6**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The method of claim 1, wherein the event-based reader of XML data is a SAX reader, and receiving the event for the mapped XML element includes selecting from a plurality of events that have been pushed by the SAX reader only those events that are associated with the mapped XML element {See Golden, C8:L56-C9:L61, wherein this reads over "[t]he SAX parser, an event-driven API, is used for the parsing process. The application registers an event handler to a parser object that implements the org.sax.Parser interface. The event handler interface DocumentHandler is called whenever an element is found in the input stream"}.

13. **As per dependent claim 7**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The method of claim 1, wherein pre-parsing the content of the XML element includes at least one of verifying a structure of the XML element relative to other XML elements occurring in the XML data, verifying a consistency of the XML element, extracting an attribute of the XML element, and collecting a content of the XML element {See Golden, C5:L44-62, wherein this reads over "[a]s a tag of the input stream is parsed, the software component mapped to it is invoked, for example, a software component for accessing a database. Information retrieved from the database may then be built into a document page"; and "as a tag is parse, a software component mapped to it is invoked"}.

14. **As per dependent claim 8**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The method of claim 1, further comprising:

mapping an XML element that was previously mapped to an existing parsing function {See Golden, C4:L26-38, wherein this reads over "[f]or tags which are mapped, in the disclosed embodiments, the mapping is a single-valued function"};

joining the existing parsing function to the created parsing function {See Golden, C6:L51-67};

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sending the pre-parsed content of the mapped XML element via the communication channel to the joined parsing functions {See Golden, C5:L44-62, wherein this reads over "[a]s a tag of the input stream is parsed, the software component mapped to it is invoked" and "[a]nother possible source for XML input is a database, since data can advantageously be stored in databases in the form of XML documents or document fragments. Such an XML database output forms an input for the disclosed embodiments, and as a tag is parse, a software components mapped to it is invoked"}.

15. **As per independent claim 9**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

A system for parsing XML data, the system comprising:

a library of custom parsing functions to parse content of XML elements {See Golden, C16:L25-67, wherein this reads over "[t]he XBF engine 13 processes the XML input document 14 as described in the context of FIGS. 5 and 6, using bindings 12 which define the mapping between the tags in the XML input document 14 and classes"};

a parser having a map that associates custom parsing functions with XML elements {See Golden, C4:L6-17, wherein this reads over "the invocation of software components mapped to the tags is performed during the parsing process"};

a communication channel;

an agent that obtains the content of an XML element on behalf of the parser in accordance with the map {See Golden, C5:L2-10, wherein this reads over "the discrete software components comprises a method, which is invoked as the start-tag of a tag, is parsed (called 'init method') and a further method, which is invoked as the end-tag of a tag, is parsed"}, wherein the map is accessed via the communication channel, and further where the agent passes the content to the associated custom parsing function via the communication channel {See Golden, C5:L44-62, wherein this reads over "[a]s a tag of the input stream is parsed, the software component mapped to it is invoked" and "[a]nother possible source for XML input is a database, since data can advantageously be stored in databases in the form of XML documents or document fragments. Such an XML database output forms an input for the disclosed embodiments, and as a tag is parse, a software components mapped to it is invoked"}.

16. **As per dependent claim 10**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The system of claim 9, wherein the library of custom parsing functions is a class library of members that receive content from the agent via the communication channel {See Golden, C4:L39-43, wherein this reads over "the discrete software components are classes of an object-oriented programming language, like Java" and "[t]hey can likewise be procedures or functions of a procedural programming language"}.

17. **As per dependent claim 12**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The system of claim 9, wherein at least one of the parsing functions is a reusable object to which an XML element has been previously associated {See Vosburgh, C5:L11-23, wherein this reads over "the mapping meta-data may define the referenced class and the XML elements



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used to define the relationship"}, and the parser joins the reusable object to the other parsing functions in the map {See Golden, C6:L51-67}.

While Greenfield may fail to expressly disclose that parsing function is a reusable object to which an XML element has been previously associated, Vosburgh discloses that there may be a plurality of XML elements which may be mapped to the same object. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the above invention suggested by Golden with the invention as disclosed by Vosburgh.

One of ordinary skill in the art would have been motivated to do this modification so that the multiple objects would not have be created wherein one reusable shared object would suffice in providing for the parsing functions.

18. **As per dependent claim 14**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The system of claim 9, further comprising an event-based reader, wherein the agent obtains the content of the XML element on behalf of the parser, including handling events generated for the XML element by the event-based reader {See Golden, C5:L2-10, wherein this reads over "the discrete software components comprises a method, which is invoked as the start-tag of a tag, is parsed (called 'init method') and a further method, which is invoked as the end-tag of a tag, is parsed"}.

19. **As per dependent claim 15**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

The system of claim 14, wherein the event-based reader of XML data is a SAX reader {See Golden, C4:L10-16, wherein this reads over "[t]he preferred parser (an event-driven Application Programming Interface (API) is the 'simple API for XML' (SAX) parser"; and C5:L11-22, wherein this reads over "the 'behavior' that is induced by a certain tag is not fixed, but can be changed depending on the context in which the input stream is parsed, or any other internal or external conditions"}, and handling events generated for the XML element includes at least one of verifying a structure of the XML element relative to other XML elements occurring in the XML data, verifying a consistency of the XML element, extracting an attribute of the XML element, and collecting the content of the XML element {See Golden, C5:L44-62, wherein this reads over "[a]s a tag of the input stream is parsed, the software component mapped to it is invoked, for example, a software component for accessing a database. Information retrieved from the database may then be built into a document page"; and "as a tag is parse, a software component mapped to it is invoked"}.

20. **As per dependent claim 16**, Golden, in combination with VOSBURGH, MURTHY, and GIRARDOT, discloses:

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The system of claim 9, wherein the agent obtains the content of the XML element on behalf of the parser using a state machine generated in accordance with the map {See Golden, C4:L35-38, wherein this reads over "there is a one-ton-one mapping between all mapped tags and software components. In other words, to each mapped tag corresponds exactly one software component"}.

### ***Response to Arguments***

21. Applicant's arguments filed 31 October 2008 have been fully considered but they are not persuasive.

a. Rejections under 35 U.S.C. 103

Applicant asserts the argument that Girardot fails to "teach or suggest anything about how the state machine is generated." See Amendment, page 6. The Examiner respectfully disagrees. It is noted that Girardot discloses an invention wherein parsers for documents encoded in XML format are "built implementing the two standard application program interfaces" such as DOM and SAX. See Girardot, col. 11, lines 51-65. Additionally, Girardot further discloses the implementation of a SAX parser, a BSAX parser, a DOM parser, and a BDOM parser. Specifically, Girardot discloses that a SAX parser "has been designed to parse a stream encoded with the preferred encoding format." See Girardot, col. 12, lines 58-67. Furthermore, in combination with the disclosed feature of a parser state machine (in col. 7, lines 37-64), the SAX parser as described by Girardot (in col. 12, lines 51-67) would accurately read upon the method step of generating a parsing state machine in accordance with XML element names defined in the parsing name.

Accordingly, for the aforementioned reasons above, the claim rejections under 35 U.S.C. 103 are maintained.

### ***Conclusion***

22. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to PAUL KIM whose telephone number is (571)272-2737. The examiner can normally be reached on M-F, 9am - 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tony Mahmoudi can be reached on (571) 272-4078. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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